

## Electronic Acknowledgement Receipt

|   |                                       |
|---|---------------------------------------|
| <b>EFS ID:</b>                              | 4161234                               |
| <b>Application Number:</b>                  | 10788506                              |
| <b>International Application Number:</b>    |                                       |
| <b>Confirmation Number:</b>                 | 7427                                  |
| <b>Title of Invention:</b>                  | Method of evaluating an option spread |
| <b>First Named Inventor/Applicant Name:</b> | David Wender                          |
| <b>Customer Number:</b>                     | 07278                                 |
| <b>Filer:</b>                               | Marie Louise Collazo/Laurie Olds      |
| <b>Filer Authorized By:</b>                 | Marie Louise Collazo                  |
| <b>Attorney Docket Number:</b>              | 04635/000N066-US0                     |
| <b>Receipt Date:</b>                        | 22-OCT-2008                           |
| <b>Filing Date:</b>                         | 26-FEB-2004                           |
| <b>Time Stamp:</b>                          | 21:21:08                              |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)           |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$581           |
| RAM confirmation Number                  | 4468            |
| Deposit Account                          | 040100          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

**File Listing:**

| <b>Document Number</b>                                     | <b>Document Description</b>   | <b>File Name</b> | <b>File Size(Bytes)/Message Digest</b>                 | <b>Multi Part /.zip</b> | <b>Pages (if appl.)</b> |  |  |  |  |
|--|-------------------------------|------------------|--|-------------------------|-------------------------|--|--|--|--|
| 1  |                               | Response_14.PDF  | 609417<br>59572d1fb9d9f666a65a1c46e62ed74be1a<br>cb084 | yes                     | 16                      |  |  |  |  |
| <b>Multipart Description/PDF files in .zip description</b> |                               |                  |  |                         |                         |  |  |  |  |
| <b>Document Description</b>                                |                               | <b>Start</b>     |  | <b>End</b>              |                         |  |  |  |  |
| Extension of Time  |                               | 1                |  | 1                       |                         |  |  |  |  |
| Amendment/Req. Reconsideration-After Non-Final Reject      |                               | 2                |  | 2                       |                         |  |  |  |  |
| Claims   |                               | 3                |  | 11                      |                         |  |  |  |  |
| Applicant Arguments/Remarks Made in an Amendment           |                               | 12               |  | 16                      |                         |  |  |  |  |
| <b>Warnings:</b>   |                               |                  |  |                         |                         |  |  |  |  |
| <b>Information:</b>  |                               |                  |  |                         |                         |  |  |  |  |
| 2  | Miscellaneous Incoming Letter | AmdtTrans.pdf    | 38369<br>c3966c857cbb88fc82f452c9e21aa19ec3b3<br>d913  | no                      | 1                       |  |  |  |  |
| <b>Warnings:</b>   |                               |                  |  |                         |                         |  |  |  |  |
| <b>Information:</b>  |                               |                  |  |                         |                         |  |  |  |  |
| 3  | Fee Worksheet (PTO-06)        | fee-info.pdf     | 31883<br>dd6d23b9d5bd43ad76203b9dcc907c7a79<br>882dad  | no                      | 2                       |  |  |  |  |
| <b>Warnings:</b>   |                               |                  |  |                         |                         |  |  |  |  |
| <b>Information:</b>  |                               |                  |  |                         |                         |  |  |  |  |
| <b>Total Files Size (in bytes):</b>                        |                               |                  |  | 679669                  |                         |  |  |  |  |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.